

DRAFT CORPORATE RISK REGISTER –BROMSGROVE DISTRICT COUNCIL
2015/16

APPENDIX 2

Risk	Cause / Effect	Current Mitigations	Inherent Risk	Actions Needed	Residual Risk	Risk Owner	Links to Strategic Purposes
Fatality within service provision	<p>Cause:</p> <ul style="list-style-type: none"> Consequence of Council action Negligence by Council Actions beyond Council control <p>Effect:</p> <ul style="list-style-type: none"> Reputation affected Legal action against Council Financial impact 	<ul style="list-style-type: none"> Standard Operating Procedures -SOP (H&S etc) Training for staff Health-checks First Aid / Defibrillation provision Safeguarding Policy and Procedures Risk Assements 	Impact – 4 Likelihood – 3 = 12	<ul style="list-style-type: none"> Review of SOPs, training provision and health-check processes Action plan re H&S Audit and Insurance Audits 	Impact – 4 Likelihood – 2 = 8	Deb Poole	All
Snap / poorly informed decisions made on savings / cuts	<p>Cause:</p> <ul style="list-style-type: none"> Requirement for savings to balance budget Unanticipated cost pressures / demand on services Pressure from other partners <p>Effect:</p> <ul style="list-style-type: none"> Longer term improvement / innovation / efficiency is hindered Impact on 	<ul style="list-style-type: none"> Robust budget-setting process in place Good awareness of Transformation Programme 	Impact – 4 Likelihood – 3 = 12	<ul style="list-style-type: none"> Establish "whole-life" or "end to end" approach to assessment of savings proposals Develop/improve support for Leadership and decision-making roles of Members On line access for managers for budgets and actual spend Performance Dashboard to be developed for members 	Impact – 4 Likelihood -2 = 8	Jayne Pickering	All

DRAFT CORPORATE RISK REGISTER –BROMSGROVE DISTRICT COUNCIL
2015/16

APPENDIX 2

	<p>organisation, staff and residents</p> <ul style="list-style-type: none"> Impact on Transformation Programme 			<ul style="list-style-type: none"> Data to drive and inform decision making based on evidence of community need 			
<p>Financial constraints (from external sources reducing funding) have a negative impact on service delivery and/or quality</p>	<p>Cause:</p> <ul style="list-style-type: none"> Reduced budget for staffing Reduced spend on maintenance Service cessation <p>Effect:</p> <ul style="list-style-type: none"> Reputation affected Quality of life of residents affected Financial impact 	<ul style="list-style-type: none"> Medium Term Financial Plan in place with assumptions on levels of cuts No unidentified savings in the finance plan 	<p>Impact – 4 Likelihood – 4 = 16</p>	<ul style="list-style-type: none"> Reviewing balances and reserves Ensure updated with legislation and financial impact of changes Reporting regularly to members 	<p>Impact – 4 Likelihood – 4 = 16</p>	<p>Jayne Pickering</p>	<p>All</p>
<p>Partners of the Councils fail to deliver on joint-working</p>	<p>Cause:</p> <ul style="list-style-type: none"> Sovereignty issues / fear of losing control Pressures on partner organisation (financial or political) Resources available from partners Lack of understanding / buy in <p>Effect:</p>	<ul style="list-style-type: none"> Robust governance structures in place Funding mechanisms in place and legally enforceable Partnership Boards (LEP etc) 	<p>Impact – 4 Likelihood -4 = 16</p>	<ul style="list-style-type: none"> Ensure that key decision-makers are round the partnership table Undertake Partnership health-check for all partnership initiatives Connecting Families Consideration of Combined Authorities 	<p>Impact – 4 Likelihood -3 = 12</p>		<p>Help me live my life independently Help me run a successful business Help me find somewhere to live in my locality</p>

DRAFT CORPORATE RISK REGISTER –BROMSGROVE DISTRICT COUNCIL
2015/16

APPENDIX 2

	<ul style="list-style-type: none"> • Service improvement hindered • Reputation affected • Financial impact 						
Business Continuity Plans fail to operate effectively in a major emergency incident	<p>Cause:</p> <ul style="list-style-type: none"> • Plans still in draft and not tested • Plans not implemented or promoted (i.e. staff not made aware) <p>Effect:</p> <ul style="list-style-type: none"> • Potential injury / loss of life • Damage to property / equipment • Service delivery affected • Councils' reputation harmed • Financial impact 	<ul style="list-style-type: none"> • Existing Business Continuity Plan is in place 	Impact -5 Likelihood – 2 = 10	<ul style="list-style-type: none"> • Refresh Business Continuity Plan • Plan the transition between the old and new plans • Ensure partners are fully aware and informed of their roles • Link Plans across H&S , Emergency Plan, Risk assessments • Work Programmes (testing etc) to be developed 	Impact -5 Likelihood -2 = 10	Sue Hanley	All
IT systems and infrastructure has a major failure	<p>Cause:</p> <ul style="list-style-type: none"> • Systems bugs / errors • Failure in power supply • Storage of data/servers affected <p>Effect:</p>	<ul style="list-style-type: none"> • Business Continuity Plans in place • Discrete and remote data storage in place • Back-up procedures in place and 	Impact – 3 Likelihood – 3 = 9	<ul style="list-style-type: none"> • Review current IT business continuity procedures • External validation of IT resilience 	Impact – 3 Likelihood – 2 = 6	Deb Poole	Enabling Services

DRAFT CORPORATE RISK REGISTER –BROMSGROVE DISTRICT COUNCIL
2015/16

APPENDIX 2

	<ul style="list-style-type: none">• Loss of key data• Service delivery affected• Councils' reputation harmed• Financial impact	followed					
--	---	----------	--	--	--	--	--